



## ACCOUNT OPENING FORM FOR UNREGISTERED GROUPINGS

*NOTE: Please complete in BLOCK LETTERS Tick (✓) applicable block (s)*

### SECTION A: Group details

Group Name .....

Contact Address .....

Mobile number: ..... Tel number: .....E-mail Address: .....

Purpose of Group .....

Source of grouping Funds .....

Type of account and

Purpose of operation .....

Do you want to receive marketing or economic information  Yes  No

### SECTION B: Group Members *(use separate sheet if necessary)*

Name	Identity type and Identity Number	Residential Address

**Continental Asset Management Limited**

1<sup>st</sup> Floor ,Ulimi House, Glyn Jones Road, PO Box 1444, Blantyre, Malawi Tel: +265(0) 1 828 363

Email: [info@continental.mw](mailto:info@continental.mw)

Website: [www.continentalasset.com](http://www.continentalasset.com)

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Has any group member ever been declared bankrupt  Yes  No *If yes state details*

.....

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**SECTION C: Authorised Persons**

We

.....  
*(name and signature of Chairperson or two Executive Members)*

Warrant that, at a meeting of members, it was resolved and requested that an account be opened with Continental Asset Management subject to the conditions under section J overleaf in the name of

.....  
It was further resolved that the undersigned be authorised signatories for the said account

Signing instructions

.....  
.....

	<b>Authorised Person 1</b>	<b>Authorised Person 2</b>	<b>Authorised Person 3</b>
Full Name			
Capacity			
Gender			
Date of Birth			
Nationality (ies)			
Identity Type			
Identity Number			
Date of expiry			
Postal Address			
Residential Address			

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Telephone Number			
Mobile Number			
Email			
Tax Payers Identification Number			
Occupation			
Employer/Business Name			
Employer/Business Address & Contact Numbers			
Gross Annual Income in Malawi Kwacha			
Signature			

We hand you the following verification documents

- Copy of group's rules, including information about opening and operating an investment account and borrowing  valid national identity documents of all signatories  valid proof of residence of the signatories or

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the group's physical address  Latest balance sheet and income report or 3 months bank statement of the group  
 valid national identity documents of and proof of residence of all ultimate beneficial owners

**SECTION D: Politically Exposed/ Affiliated Persons**

Is a member of group, or any party connected to a member of the group, a politically affiliated person (PEP)?

No  Yes *If yes please complete the table below*

Name of PEP	Nature of prominent public function	Country	Relationship with Legal person	Source of wealth and funds of PEP

**SECTION E: BENEFICIAL OWNERS\***

Name	Postal and email address	Nationality, ID Type & Number	Physical address	Nature of beneficial ownership

\* The natural person(s) who ultimately owns or controls the legal person and/or who injects a significant proportion of the capital or financial support or otherwise exercises control

**SECTION F: EXISTING ACCOUNTS AT OTHER INSTITUTIONS**

Name of institution	Acc. number	Date opened	Account Title	Type of account	Approx Balance
.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....

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## SECTION G: Expected volume and type of transactions

Transaction Type	Expected Number per month	Expected amount per month
Inward transfers		
Outward transfers		

## SECTION H: for official use only

1. Copy of screening results for all natural persons

I have verified that the form is complete and compliant with account opening requirements in all respects

ARO Name \_\_\_\_\_

Signature \_\_\_\_\_

### General authorisation

Chief Executive Officer \_\_\_\_\_

Date \_\_\_\_\_

### Authorisation for PEPS

Chief Executive Officer \_\_\_\_\_

Other Senior Management Official \_\_\_\_\_

Date \_\_\_\_\_

## SECTION I: FUND MANAGERS INVESTMENT MANDATE

1. Fund managers' Discretion
2. Non-Discretionary  ( Complete mandate)

## SECTION J: TERMS AND CONDITIONS

1. We warrant that the information above is true and complete. We are aware that we may be subject to prosecution and criminal sanctions if found to have made any false statement which we now to be false or which we believe to be untrue, or if we have intentionally suppressed any material fact.
2. We authorize Continental Asset Management Limited to disclose all information contained herein and/or relating to any of my/our account with Continental Asset Management Limited to all division/companies and other associated companies in the group, such information to be used at their discretion

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3. We agree to the general terms and conditions in the Mandate/ Investment Agreement relating to this account. We acknowledge that we have signed a Mandate/ Investment Agreement and that we understand its contents.
4. We confirm that any assets or monies that fund or pass through the account are not the proceeds of crime, fraud or any other activity that would expose Continental Asset Management to prosecution or liability in any jurisdiction nor will such funds be used for funding crime, fraud, terrorism or any other activity that would expose Continental Asset Management to prosecution in any jurisdiction.
5. Continental Asset Management will close your account on receipt of a request in writing signed by any account holder to do so.
6. Continental Asset Management reserves the right to close your account on reasonable prior notice if in our opinion it has been conducted in an unsatisfactory manner.
7. CAM may check by reference to third parties the correctness of details given in the application form you have completed for opening of the account.
8. You may not transfer the account into the name of another person.
9. You must notify us immediately if you are placed under receivership or sequestration or placed under any other form of insolvency or legal disability.
10. You must you must notify us immediately of any change in any of the details you provided us when you opened the account.
11. CAM reserve the right to amend these terms and conditions and will give you notice thereof in writing

Signature.....  
 Signature.....  
 Signature.....  
 Signature.....

Date.....  
 Date.....  
 Date.....  
 Date.....

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