



ACCOUNT OPENING FORM FOR LEGAL PERSONS (REGISTERED ENTITIES)

NOTE: Please complete in BLOCK LETTERS Tick (✓) applicable block (s)

SECTION A: LEGAL PERSONS DETAILS

Full legal name of registration

Type

Partnership Body Corporate Non-Profit Organisation Trust Sole Proprietor

Other.....

County of registration

Nature of Business

Licence details

(If applicable)

Registration/ Incorporation Number and Date established

Registered Address

Address of principal place of business (if different)

Subsidiary of (if applicable) Name of Holding Company (if Applicable)

Income Tax Number Financial year-end.....

Monthly Turnover K.....

Tel. Number Fax

Email address Website

Ever been declared insolvent Yes No *If yes state: Date rehabilitated*

Intended nature and purpose of the business relationship

.....

Do you want to receive marketing or economic information Yes No

Continental Asset Management Limited

1st Floor ,Ulimi House, Glyn Jones Road, PO Box 1444, Blantyre, Malawi Tel: +265(0) 1 828 363

Email: info@continental.mw

Website: www.continentalasset.com

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SECTION B: NAMES OF SHAREHOLDERS, DIRECTORS OR PARTNERS, and BENEFICIAL OWNERS (use a separate sheet if required)

List of Directors/ Partners

Name	Postal and email address	Nationality, ID Type & Number	Physical address

List of Shareholders

Name	%shareholding	Postal and email address	Nationality, ID Type & Number	Physical address

BENEFICIAL OWNERS*

Name	Postal and email address	Nationality, ID Type & Number	Physical address	Nature of beneficial ownership

* The natural person(s) who ultimately owns or controls the legal person and/or who injects a significant proportion of the capital or financial support or otherwise exercises control

SECTION C: AUTHORISED SIGNATORIES (Complete where applicable)

Body Corporate

I/ We.....
(Name and signature of Chairperson, Company Secretary or two Directors)

warrant that at a meeting of directors/members, it was resolved and requested that an account be opened with Continental Asset Management, Subject to the conditions under Section F overleaf in the name of

.....
Signing instructions.....

References 1..... 2.....

We hand you herewith the following verification documents

- Certificate of incorporation
- Memorandum and Articles of Association
- Proof of address of the body corporate
- Proof of authority to open an account
- A full list of current signing officials as resolved at a meeting of directors/member, with their respective signatures and valid national identity documents
- proof of company's physical residence
- Latest balance sheet and income report of the company (audited if available)
- the current ownership structure of the company
- valid identity documents and proof of residence of all ultimate beneficial owners

Partnership

We,
(names and signatures of Managing Partners)

carrying on business in partnership under the name or style of

.....
Hereby authorise and request you to open an account with Continental Asset Management, Subject to the conditions under section F overleaf.

Signing instructions.....

References

We hand you the following

- Partnership agreement
- valid national identity documents of all partners
- Trading Licence
- Proof of authority to open an account
- Power of attorney (if applicable)
- Latest balance sheet and income report of the Partnership (audited if available)
- A full list of current signing officials as resolved at a meeting of the partners, with their respective signatures and valid national identity documents
- valid identity documents and proof of residence of all ultimate beneficial owners

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Non Profit organisations

We

(name and signature of Chairperson, Company Secretary or Trustees)

Warrant that, at a meeting of trustees/members, it was resolved and requested that an account be opened with Continental Asset Management subject to the conditions under section F overleaf in the name of

.....

Signing instructions.....

References 1..... 2.....

We hand you the following verification documents

- Copy of registered founding documents
- valid national identity documents
- valid proof of residence of the NPO
- Latest balance sheet and income report of the NPO (audited if available)
- Proof of authority to open an account
- A full list of current signing officials as resolved at a meeting of trustees/directors with their respective signatures and valid national identity documents
- valid national identity documents of and proof of residence of all ultimate beneficial owners

Trusts

We

(Names and signatures of Chairman or trustees)

Hereby authorise and request to you to open an account with Continental Asset Management Limited, subject to the conditions under section F overleaf.

Signing instructions.....

References 1..... 2.....

We hand you the following verification documents

- Copy of registered founding documents
- valid national identity documents and proof of residence of all settlors
- valid national identity documents and proof of residence of all trustees
- Latest balance sheet and income report of the Trust(audited if available)
- A full list of current signing officials as resolved at a meeting of trustees with their respective signatures and valid national identity documents
- valid national identity documents and proof of residence of all trustees
- valid national identity documents of and proof of residence of all ultimate beneficial owners

Sole Proprietorship

I,.....carrying on a business under the name or style of Hereby authorise and request you to open an account with Continental Asset Management, subject to the conditions under section F overleaf.

References 1..... 2.....

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I hand you the following verification documents

Business registration certificate my valid national identity documents and proof of residence Latest balance sheet and income report or three month bank statement a copy of my signature

SECTION D: AUTHORISED SIGNATURES

SURNAME	1.....	2.....
FIRST NAME(S)	1.....	2.....
Capacity	1.....	2.....
Signature	1.....	2.....
SURNAME	3.....	4.....
FIRST NAME(S)	3.....	4.....
Capacity	3.....	4.....
Signature	3.....	4.....

Signing instructions

.....
.....

SECTION E: POLITICALLY EXPOSED PERSONS- complete if the Legal person or any party connected to the Legal Person is a Politically Exposed Person (*use a separate sheet if required*)

Name of PEP	Nature of prominent public function	Country	Relationship with Legal person	Source of wealth and funds

SECTION F: EXISTING ACCOUNTS AT OTHER INSTITUTIONS

Name of institution	Acc. number	Date opened	Account Title	Type of account	Approx Balance
.....
.....

SECTION G: Expected volume and type of transactions

Transaction Type	Expected Number per month	Expected amount per month
Inward transfers		
Outward transfers		

SECTION H: for official use only

1. Copy of screening results for all natural persons

I have verified that the form is complete and compliant with account opening requirements in all respects

ARO Name _____

Signature _____

General authorisation

Chief Executive Officer _____

Date _____

Authorisation for PEPS

Chief Executive Officer _____

Other Senior Management Official _____

Date _____

SECTION I: FUND MANAGERS INVESTMENT MANDATE

1. Fund managers' Discretion

2. Non-Discretionary (Complete mandate)

SECTION J: TERMS AND CONDITIONS

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1. We warrant that the information above is true and complete. We are aware that we may be subject to prosecution and criminal sanctions if found to have made any false statement which we now to be false or which we believe to be untrue, or if we have intentionally suppressed any material fact.
2. We authorize Continental Asset Management Limited to disclose all information contained herein and/or relating to any of my/our account with Continental Asset Management Limited to all division/companies and other associated companies in the group, such information to be used at their discretion
3. We agree to the general terms and conditions in the Mandate/ Investment Agreement relating to this account. We acknowledge that we have signed a Mandate/ Investment Agreement and that we understand its contents.
4. We confirm that any assets or monies that fund or pass through the account are not the proceeds of crime, fraud or any other activity that would expose Continental Asset Management to prosecution or liability in any jurisdiction nor will such funds be used for funding crime, fraud, terrorism or any other activity that would expose Continental Asset Management to prosecution in any jurisdiction.
5. Continental Asset Management will close your account on receipt of a request in writing signed by any account holder to do so.
6. Continental Asset Management reserves the right to close your account on reasonable prior notice if in our opinion it has been conducted in an unsatisfactory manner.
7. CAM may check by reference to third parties the correctness of details given in the application form you have completed for opening of the account.
8. You may not transfer the account into the name of another person.
9. You must notify us immediately if you are placed under receivership or sequestration or placed under any other form of insolvency or legal disability.
10. You must you must notify us immediately of any change in any of the details you provided us when you opened the account.
11. CAM reserve the right to amend these terms and conditions and will give you notice thereof in writing

Signature.....
 Signature.....
 Signature.....
 Signature.....

Date.....
 Date.....
 Date.....
 Date.....

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